

## Appendix 1

### DEGREE OF AUTHORIZATION

Dated 25.10.2021, effective from 01.12.2021

#### **1 General conditions for authorization**

- 1.1 The RO is authorized to carry out statutory certification services on behalf of Traficom as listed below.
- 1.2 In this Appendix the term 'RO' shall also be understood as the Recognised Security Organisation (RSO).
- 1.3 The distinction between new and existing ships is the responsibility of Traficom.
- 1.4 The shipowner shall agree with the RO on the practical arrangements of the surveys.

#### **2 Newbuildings and flag entry**

- 2.1 An initial project meeting shall be arranged between Traficom, shipowner, the RO and shipyard in order to clarify tasks and responsibilities between the parties when a new ship is to be built to Finnish flag.
- 2.2 Traficom, the RO and Shipowner shall be in a dialogue when a foreign ship is to be transferred to the Finnish register in order to clarify tasks and responsibilities of the transfer process. A meeting shall be arranged when considered necessary.

#### **3 Issuance of Certificates, attachments and supplements**

- 3.1 Exemption certificates are issued solely by Traficom.
- 3.2 The full-term certificates, their attachments and supplements will be issued as specified in this document.
- 3.3 When the RO is issuing certificates specified in this document the certificates shall be issued "under the authority of the Government of Finland".
- 3.4 Certificates specified in an instrument relevant to this Agreement shall be issued in a format and with contents as described in the instrument.
- 3.5 All certificates shall be in the English language or in combined Finnish/English or Swedish/English language. The spelling of a ship's name, homeport, companies and company address is governed by the Finnish certificate of nationality and shall be used on all certificates. This includes the use of the special letters "Å, Ä and Ö".
- 3.6 Printed certificates shall be furnished with appropriate stamps and other means to minimize falsification.
- 3.7 The RO may issue any other type of "Certificates", "Approval letters" and "Statements of Compliance", etc. not relevant to this Agreement as long as there is no doubt that it is issued on behalf of the RO itself and not on behalf of the Government of Finland or The Finnish Transport and Communications Agency.
- 3.8 Certificates may be electronically produced and communicated, in accordance with document IMO document FAL.5/Circ.39/Rev.2 "Guidelines for the use of electronic

certificates”.

#### 4 Authorization of surveys and certificates

4.1 The division of work concerning surveys and certificates is specified in tables 1 to 4.

4.2 The following applies:

1. Authorisation to perform statutory certification services only applies to vessels classed by the same RO.
2. Exception to above includes certification services regarding ISM, ISPS, IMO DCS and Ship Recycling regulation.

4.3 The following types of authorizations apply:

- **RO:** Full authorization of the RO to perform plan review, carry out initial, annual, intermediate, periodical, renewal or additional surveys, audits and inspections, verifications, and issue the necessary certificates within the limits given in the relevant conventions and regulations or other instruments.
- **Traficom:** Not authorized. The issuing of certificate and tasks relating to the given certificate are the prerogative of Traficom.
- **P:** Partial authorization, see remarks

**Table 1 - All ships**

Certificate	Authorization	Remarks
International Tonnage Certificate, ITC 69	Traficom	
International Anti-Fouling System Certificate, AFS	RO	EU regulation 782/2003
International Air Pollution Prevention Certificate, IAPP	RO	
International Load Line Certificate, ILLC	RO	The Load Line Disc shall be marked with the appropriate signature letters of the RO issuing the ILLC
International Oil Pollution Prevention Certificate, IOPP	RO	
International Sewage Pollution Prevention Certificate, ISPP	RO	
International Energy Efficiency Certificate, IEE	RO	
Statement of Compliance – Fuel Oil Consumption Reporting	RO	Authorization includes transfer of data to the IMO Ship Fuel Oil Consumption Database, Traficom regulation TRAFI/613265/03.04.01.00/2018
Engine International Air Pollution Prevention Certificate, EIAPP	RO	
Ballast Water Management Certificate, BWMC	RO	

Document of Compliance with special requirements for ships carrying dangerous cargo, DG	RO	
Certificate on Inventory of Hazardous Materials	RO	EU regulation 1257/2013
Ready for Recycling Certificate	RO	EU regulation 1257/2013
Polar Ship Certificate, POLAR	RO	
Special Purpose Code Certificate, SPCC	RO	An initial SPCC may only be issued after written permission by the Traficom
Safety Management Certificate (SMC)	RO	
Document of Compliance ISM (DOC)	RO	
International Ship Security Certificate, ISSC	RO	To be noted that some of the paragraphs in the ISPS Code, Part B, are made mandatory by EC Regulation No. 725/2004 of the European Parliament and of the Council of 31 March 2004, as amended on enhancing ship and port facility security
Maritime Labour Certificate, MLC	Traficom and MSAH (Ministry of Social Affairs and Health)	Traficom is responsible for Training and qualifications (Reg. 1.3) and Manning levels (Reg. 2.7) only. MSAH is responsible for the other parts of the MLC

**Table 2 - Cargo ships and cargo high-speed craft**

<b>Certificate</b>	<b>Authorization</b>	<b>Remarks</b>
Cargo Ship Safety Construction Certificate, SAFCON	RO	
Cargo Ship Safety Equipment Certificate, SAFEQ	RO	
Cargo Ship Safety Radio Certificate, SAFRAD	RO	
Safety Certificate for High-Speed Craft, HSC	RO	
International Pollution Prevention Certificate for the Carriage of Noxious Liquid Substances in Bulk, NLS	RO	
International Certificate of Fitness for the Carriage of Dangerous Chemicals in Bulk, IBC FITNESS	RO	
Certificate of Fitness for the Carriage of Dangerous Chemicals in Bulk, BCH FITNESS	RO	
International Certificate of Fitness for the Carriage of Liquefied Gases in Bulk, ICG FITNESS	RO	
Certificate of Fitness for the Carriage of Liquefied Gases in Bulk, CG FITNESS	RO	

OSV Certificate of Fitness	RO	IMO Resolution A.673(16)
OSV Chemical Code	RO	IMO Res. A.1122(30)
International Certificate of Fitness for the Carriage of INF Cargo, INF	Traficom	

**Table 3 - Passenger ships and passenger high-speed craft**

Certificate	Authorization	Remarks
Passenger Ship Safety Certificate, PSSC	RO	
Safety Certificate for High-Speed Craft, HSC	RO	
Permit to Operate High-Speed Craft	Traficom	

**Table 4 - Survey and certification of non-convention ships**

Certificate	Authorization	Remarks
Passenger vessels in national trade falling within the scope of the EC Directive 2009/45/EC, as amended	P	Vessels, which are classed by an RO, shall be surveyed by the RO. Passenger Ship Safety Certificate is always issued by Traficom.

4.4 Other Non-convention ships, which are not mentioned in the table 4 above, may be surveyed by the RO if agreed with Traficom as described in guidance note.

## 5 Approval of manuals, plans and other arrangements

5.1 The division of work concerning approval of certain manuals, plans and other arrangements is specified in Table 5.

**Table 5 – Plans and documents approved by Traficom or the RO**

Plan/Manual	Approval
Cargo securing manual	RO
Damage Control Plans and Booklets	RO
Subdivision and damage stability calculation	RO
Intact stability booklet	RO
Dedicated Clean Ballast Tank Operation Manual	RO
Document of Authorization for the Carriage of Grain	RO
Grain Loading Stability Booklet	RO
Emergency towing booklet (tankers)	RO
Oil Discharge Monitoring and Control System Operational Manual	RO
Operations and Equipment Manual for Crude Oil Washing Systems	RO
Safety plan/fire control plan/life-saving appliances scheme,	RO
Shipboard oil pollution emergency plan (SOPEP)	RO
Shipboard marine pollution emergency plan for noxious liquid substances (SMPEP)	RO
Ship Energy Efficiency Management Plan (SEEMP) Part II	RO

List of loading and filling limits	RO
Cargo handling plans	RO
Ship structure access manual	RO
Ballast Water Management Plan (BWMP)	RO
EGC System Technical Manual "Scheme A" (ETM-A)	RO
EGC System Technical Manual "Scheme B" (ETM-B)	RO
Onboard monitoring Manual (OMM)	RO
Inspection/survey plan for Gas Carried	RO
SOx Emissions Compliance Plan (SECP)	RO
EGC Record Book	RO
Manual for EGR bleed-off discharge system	RO
EGR record book	RO
Procedures and Arrangements Manual	RO
Ship-to-ship-transfer plan (oil)	RO
Format of Muster List for passenger ship	RO
VOC Management Plan	RO
NOx Technical File	RO
Review and approval of Ship Security Plan (SSP)	RO

## 6 Arrangements for the ISM and ISPS audits, certification and approval of Ship Security Plan (SSP)

- 6.1 Audits related to the Document of Compliance (DOC) and Safety Management Certificate (SMC) may be performed either by Traficom or by the RO. The Document of Compliance (DOC) and Safety Management Certificate (SMC) is issued by the auditing body.
- 6.2 The ISM audit shall be performed in the language of the Safety Management System (SMS).
- 6.3 The ISPS audit shall be performed in the language stated in the Ship Security Plan (SSP).
- 6.4 The RO approving the SSP shall not be involved in the ship security assessment or in the preparation of the Ship Security Plan (SSP).

## 7 Approved service providers

### 7.1 Launching and lifting appliances

- 7.1.1 Launching appliances under the authority of Traficom are those which are related to:
- 1974 SOLAS II-1/3-9 (i.e. gangways and accommodation ladders), forming part of the SAFCON survey
  - 1974 SOLAS III (i.e. LSA equipment), forming part of the SAFEQ survey.
- 7.1.2 Service providers for LSA launching appliances must be approved by Traficom if the service station is located in Finland.
- 7.1.3 Service providers for LSA launching appliances must be approved by Traficom, RO or the national maritime administration if the service station is located outside Finland.
- 7.1.4 All other lifting appliances (e.g. cargo cranes, passenger lifts, cranes for provision and all lifting appliances in the engine room) on board Finnish ships fall under the authority of the Ministry of Social Affairs and Health.

## **7.2 Servicing stations for inflatable liferafts, inflatable lifejackets, marine evacuation systems**

7.2.1 According to Traficom's regulation Conditions for the approval of servicing stations for marine safety equipment and the requirements for their operation, a service station servicing inflatable liferafts, inflatable lifejackets, marine evacuation systems shall be approved (in accordance with the annex to IMO Resolution A.761(18), as amended) by the manufacturer of the equipment and by:

- Traficom, if the service station is located in Finland.
- As authorized by the national maritime administration, if the service station is located outside Finland.

## **7.3 Shore-based maintenance for radio installations**

7.3.1 Shore-based maintenance requirements are those, which are related to:

- 1974 SOLAS IV reg.15 (shore-based maintenance)

7.3.2 Vessels flying the Finnish flag must have a contract with a shore-based maintenance provider approved by Traficom.

## **7.4 Voyage Data Recorder (VDR)**

7.4.1 VDR service provider must be approved by manufacturer and RO.

## **8 Marine Equipment Directive (MED)**

8.1 The RO shall, when issuing or renewing the relevant safety certificates, ensure compliance with the MED provisions (wheel-mark equipment), as implemented in Finnish legislation.